

High-density lipoprotein-associated paraoxonase 1: a possible prognostic biomarker for heart failure?

Oren Rom¹ and Michael Aviram^{2*}

¹Cardiovascular Center, Department of Internal Medicine, University of Michigan Medical Center, North Campus Research Complex Building, 2800 Plymouth Road, Ann Arbor, MI, USA; and ²The Lipid Research Laboratory, Rappaport Faculty of Medicine, Technion–Israel Institute of Technology, Efron 1, Bat Galim, Haifa, 31096, Israel

This article refers to ‘High-density lipoprotein-associated paraoxonase-1 activity for prediction of adverse outcomes in outpatients with chronic heart failure’, by Hammadah *et al.*, published in this issue on pages XXX.

Overview

Located adjacently on chromosome 7 in humans, the paraoxonase (PON) gene family includes three members: *PON1*, *PON2*, and *PON3*. The similarities in PON genes regarding their coding regions and the locations of the exon/intron junctions, indicate that these genes arose by gene duplication.¹ Paraoxonase 1 (PON1) is expressed in various tissues, but is mainly synthesized in the liver, from which it is secreted to the circulation, where it is found in association with high-density lipoprotein (HDL). Paraoxonase 2 (PON2) is expressed in nearly all human tissues, but it is not found in the circulation. Paraoxonase 3 (PON3), like PON1, is found in the liver as well as in the serum, where it is associated with HDL, but at lower concentrations in comparison with PON1. All PONs have been shown to play a role in the attenuation of oxidative stress, but PON1 remains the most studied of the three enzymes.^{2,3} Polymorphisms in the PON1 gene affect the blood concentrations of the enzyme and its catalytic activity. The two most common polymorphisms of PON1 consist of a glutamine-to-arginine substitution at position 192 (Q192R) and a leucine-to-methionine substitution at position 55 (L55M). Polymorphisms of the PON1 gene are associated with various human pathologies, including coronary heart disease (CHD), type 2 diabetes, inflammatory bowel disease (IBD) and others.^{3–5} Expression of PON1 is regulated by various stimuli including nutritional factors (such as the polyphenol-rich pomegranate juice, red wine, etc.), oxidative status (influenced by the balance between pro-oxidants such as copper ions, iron, etc., and anti-oxidants such as flavonoids, quercetin, punicalagin, and others), inflammatory conditions (IBD, pancreatitis, etc.) and several pharmacological

agents (mainly those related to lipid metabolism such as statins, inhibitors of 3-hydroxy-3-methylglutaryl-coenzyme A reductase, peroxisome proliferator-activated receptor agonists, etc.). Indeed, sterol regulatory element-binding protein-2 (SREBP2), the key regulator of cholesterol biosynthesis genes, was shown to regulate the promoter activity of PON1.^{3,6}

Anti-atherogenic and cardioprotective properties of paraoxonase 1

Paraoxonase 1 is a 43 kDa calcium-dependent enzyme containing two calcium ions per molecule. One calcium ion is suggested to have a structural function, whereas the second calcium ion is predicted to be involved in the enzyme catalytic activity.⁶ Paraoxonase 1 is so-named for its ability to hydrolyse the organophosphate paraoxon, however, PON1 also catalyses the hydrolysis of other compounds such as arylesters (aromatic esters, such as phenyl acetate) and lactones (cyclic esters, such as dihydrocoumarin).^{3–6} In addition, PON1 is known for its peroxidase-like activity, which was demonstrated by its ability to hydrolyse hydrogen peroxides and lipid peroxides. This peroxidase-like activity of the HDL-associated PON1 contributes to the protective anti-oxidative and anti-atherogenic roles of the HDL particle, which inhibits lipoprotein oxidation, stabilizes vulnerable atherosclerotic plaques, and stimulates cholesterol efflux from arterial macrophages.^{5–7}

In relation to PON1 polymorphism, PON1Q and PON1R were shown to possess different capabilities to hydrolyse lipid peroxides in human atherosclerotic lesions.⁵ Furthermore, in patients undergoing diagnostic coronary angiography, the PON1 genotype demonstrated dose-dependent associations (QQ192 > QR192 > RR192) with decreased levels of serum PON1 arylesterase and paraoxonase activities, and with increased levels of systemic oxidative stress. Moreover, the QQ192 genotype

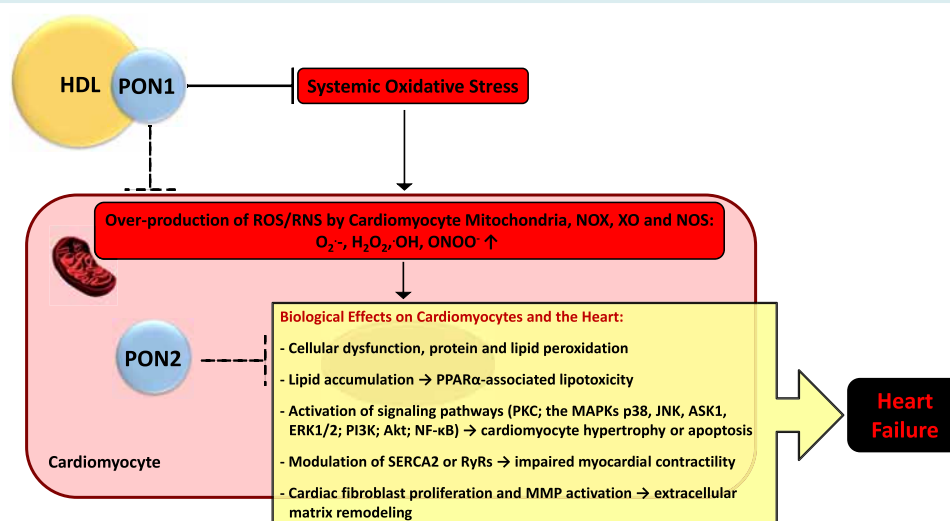


Figure 1 Possible mechanisms of paraoxonase 1 (PON1) and paraoxonase 2 (PON2) protection against cardiomyocyte oxidative stress and its consequence heart failure. The high-density lipoprotein (HDL)-associated PON1 attenuates systemic humoral oxidative stress, whereas PON2 attenuates intracellular oxidative stress; however, their effects on cardiomyocyte oxidative stress, a key feature of the failing heart, remain unclear. Possible mechanisms by which PON1 (or PON2) may protect against cardiomyocyte oxidative stress and its consequence heart failure include attenuation of mitochondrial reactive oxygen species (ROS)/reactive nitrogen species (RNS) generation and/or inactivation of ROS/RNS producing enzymes [nicotinamide adenine dinucleotide phosphate-oxidase (NOX), xanthine oxidase (XO) or nitric oxide synthase (NOS)] that may prevent oxidative stress-induced damage in cardiomyocytes or in the heart, including cellular dysfunction, protein and lipid peroxidation, lipid accumulation [leading to peroxisome proliferator-activated receptor alpha (PPARα)-associated cellular lipotoxicity], activation of various signalling pathways [including the protein kinase C (PKC), the mitogen-activated protein kinases (MAPKs) p38, c-Jun N-terminal kinase (JNK), apoptosis signal-regulating kinase 1 (ASK1), extracellular signal-regulated kinases 1/2 (ERK1/2), phosphatidylinositol 3-kinases (PI3K), protein kinase B (Akt), and nuclear factor kappa B (NF-κB) that lead to cardiomyocyte hypertrophy or apoptosis], altered function of calcium channels [such as sarcoplasmic reticulum Ca²⁺ ATPase (SERCA) or ryanodine receptors (RyRs)], leading to impaired myocardial contractility, and extracellular matrix remodelling (via proliferation of cardiac fibroblasts and matrix metalloproteinase (MMP) activation). O₂^{•-}, superoxide; H₂O₂, hydrogen peroxide, •OH, hydroxyl radical; ONOO⁻, peroxynitrite.

was associated with an increased risk of all-cause mortality and of major adverse cardiac events. However, the incidence of major adverse cardiac events was significantly lower in participants in the highest quartile of PON1 activity.⁸ Indeed, lower PON1 concentration and activity that attenuate the ability of HDL to prevent lipid peroxidation were suggested to be more important in determining the presence of CHD than PON1 genetic polymorphisms.⁹

In parallel with the above studies that explored the role of PON1 genetic polymorphisms as well as its serum concentrations and activities with regard to cardiovascular risk,^{5,7–9} extensive evidence has accumulated demonstrating the mechanisms behind the protective, anti-oxidative and anti-atherogenic properties of PON1. A key role for PON1 in the attenuation of atherosclerosis development was clearly demonstrated in studies using PON1 transgenic (Tg) mice, PON1 knockout (KO) mice and *in vitro* transfection studies using macrophage model systems.^{3,6,10,11} These reports indicated an anti-oxidative and anti-atherogenic role for PON1, which attenuates the development of atherosclerosis as a result of its ability to hydrolyse specific oxidized lipids in lipoproteins in macrophages, as well as in the atherosclerotic lesions.^{5,7,10,11} Indeed, HDL isolated from PON1 Tg mice was found to protect against LDL oxidation more effectively than

HDL isolated from control mice. Moreover, the atherosclerotic lesions from PON1 Tg mice were significantly decreased in both dietary and apolipoprotein E (apoE) KO mouse models of atherosclerosis.¹⁰ In addition, PON1 KO mice demonstrated increased serum levels of lipid peroxides as well as increased oxidative stress and lipid peroxidation in arterial macrophages isolated from the mice. In accord with these results, an increase in the atherosclerotic lesion area was observed in PON1 KO mice on an apoE KO background.¹¹ Furthermore, at the cellular level, PON1 was shown to stimulate HDL-mediated cholesterol efflux from macrophages via the ATP-binding cassette transporter A1 (ABCA1) in association with increased HDL binding to macrophages.^{3,6}

Paraoxonase 1 activity as a possible prognostic biomarker for heart failure

Whereas PON1 protection against atherosclerosis development has been established in numerous clinical studies, experimental models, and in basic research, the role of PON1 in heart failure has

been little studied. In an attempt to determine the prognostic role of PON1 arylesterase activity in subjects with systolic heart failure, Tang *et al.*¹² found that lower serum arylesterase activity is a strong predictor of long-term major adverse cardiac events after adjusting for possible confounders. Following that study, Hammadah *et al.*¹³ investigated the prognostic significance of changes in PON1 arylesterase activity over time in 299 patients with heart failure. In line with their previous reports,¹² Hammadah *et al.*¹³ found that after a mean follow up of 2.8 years, low baseline arylesterase activity was associated with increased risk of adverse heart failure events after adjustment for various confounders. Moreover, a significant drop in arylesterase activity ($\geq 25\%$) during the follow-up was associated with an increased risk of heart failure events.¹³ The findings that lower baseline and follow-up arylesterase activity are associated with adverse outcomes in patients with heart failure, indicate that PON1 arylesterase activity might indeed serve as a prognostic biomarker in chronic heart failure.

In contrast, Potočnjak *et al.*¹⁴ have found that in acute heart failure patients, the HDL-mediated cholesterol efflux from macrophages, but not the PON1 arylesterase activity, was associated with increased risk of hospital mortality. The discrepancy between the above studies, namely, the lack of significant impact of PON1 arylesterase activity on hospital mortality in acute heart failure patient, could be explained by the possibility that PON1 arylesterase activity is useful only as a long-term prognostic marker of adverse cardiac events. Finally, in accord with the results of Hammadah *et al.*¹³ PON1 activity was found to be significantly lower in plasma obtained from abdominal aortic aneurysm (AAA) patients than in controls.¹⁵ Over-expression of PON1 prevented experimental AAA in mice in association with lower oxidative stress, apoptosis and inflammation, further suggesting that strategies aimed at increasing serum PON1 activities could indeed attenuate AAA, atherogenesis and other cardiovascular diseases.¹⁵

Oxidative stress is known to play an important role in the pathophysiology of cardiac remodelling and heart failure. Increased generation of reactive oxygen species (ROS) within the mitochondria of cardiomyocytes from failing hearts results in cellular dysfunction, protein and lipid peroxidation, accumulation of lipids leading to cellular lipotoxicity, activation of various signalling pathways that lead to cardiomyocyte hypertrophy or apoptosis, and inactivation of sarcoplasmic reticulum calcium pumps that results in impaired myocardial contractility. In addition, ROS can also promote myocardial remodelling and failure through enhanced proliferation of cardiac fibroblasts and via activation of matrix metalloproteinases (MMPs).¹⁶ Whereas the anti-oxidative and anti-atherogenic role of PON1 was clearly demonstrated using macrophage model systems, the possible protective role of PON1 against heart failure in the cardiomyocyte model system remains unclear. Considering the marked detrimental effects of increased cardiomyocyte ROS generation in the pathophysiology of heart failure,¹⁶ together with the potent anti-oxidative roles of PON1,^{3,5–7,10,11} further research is warranted in order to elucidate the possible mechanisms by which PON1 may possess a protective role against heart failure at the cardiomyocyte level. Examples for such possible mechanisms are depicted in Figure 1.

Conclusions

The findings by Tang *et al.*¹² as well as that of Hammadah *et al.*¹³ clearly indicate that PON1 activity may serve as a prognostic biomarker to predict the outcomes of chronic heart failure patients. Progress toward the clinical implementation of PON1 as a prognostic biomarker for chronic heart failure patients requires further research in two main directions. First, confirming the findings of Tang *et al.*¹² and Hammadah *et al.*¹³ in a larger cohort of chronic heart failure patients. Second, elucidating the underlying mechanisms in cardiomyocytes by which lower levels of PON1 activity increase the risk of heart failure events whereas higher levels of PON1 activity are protective.

Funding

This work was supported by the Research Grant of the Israel Medical Association and the Society for Research, Prevention and Treatment of Atherosclerosis, the Fund for Research Projects and Fellowships on Food and Nutrition with Implications on Public Health of the Israeli Ministry of Health (3-00000-12135), and the Research Grant of the University of Michigan–Israel Partnership for Research and Education.

Conflict of interest: none declared.

References

1. Primo-Parmo SL, Sorenson RC, Teiber J, La Du BN. The human serum paraoxonase/arylesterase gene (PON1) is one member of a multigene family. *Genomics* 1996;**33**:498–507.
2. Shamir R, Hartman C, Karry R, Pavlotzky E, Eliakim R, Lachter J, Suissa A, Aviram M. Paraoxonases (PONs) 1, 2, and 3 are expressed in human and mouse gastrointestinal tract and in Caco-2 cell line: selective secretion of PON1 and PON2. *Free Radic Biol Med* 2005;**39**:336–344.
3. Précourt LP, Amre D, Denis MC, Lavoie JC, Delvin E, Seidman E, Levy E. The three-gene paraoxonase family: physiologic roles, actions and regulation. *Atherosclerosis* 2011;**214**:20–36.
4. Humbert R, Adler DA, Distech CM, Hassett C, Omiecinski CJ, Furlong CE. The molecular basis of the human serum paraoxonase activity polymorphism. *Nat Genet* 1993;**3**:73–76.
5. Aviram M, Hardak E, Vaya J, Mahmood S, Milo S, Hoffman A, Billicke S, Draganov D, Rosenblat M. Human serum paraoxonases (PON1) Q and R selectively decrease lipid peroxides in human coronary and carotid atherosclerotic lesions: PON1 esterase and peroxidase-like activities. *Circulation* 2000;**101**:2510–2517.
6. Aviram M, Vaya J. Paraoxonase 1 activities, regulation, and interactions with atherosclerotic lesion. *Curr Opin Lipidol* 2013;**24**:339–344.
7. Aviram M, Rosenblat M, Bisgaier CL, Newton RS, Primo-Parmo SL, La Du BN. Paraoxonase inhibits high-density lipoprotein oxidation and preserves its functions. A possible peroxidative role for paraoxonase. *J Clin Invest* 1998;**101**:1581–1590.
8. Bhattacharyya T, Nicholls SJ, Topol EJ, Zhang R, Yang X, Schmitt D, Fu X, Shao M, Brennan DM, Ellis SG, Brennan ML, Allayee H, Lusis AJ, Hazen SL. Relationship of paraoxonase 1 (PON1) gene polymorphisms and functional activity with systemic oxidative stress and cardiovascular risk. *JAMA* 2008;**299**:1265–1276.
9. Mackness B, Davies GK, Turkie W, Lee E, Roberts DH, Hill E, Roberts C, Durrington PN, Mackness MI. Paraoxonase status in coronary heart disease: are activity and concentration more important than genotype? *Arterioscler Thromb Vasc Biol* 2001;**21**:1451–1457.
10. Tward A, Xia YR, Wang XP, Shi YS, Park C, Castellani LW, Lusis AJ, Shih DM. Decreased atherosclerotic lesion formation in human serum paraoxonase transgenic mice. *Circulation* 2002;**106**:484–490.
11. Rozenberg O, Rosenblat M, Coleman R, Shih DM, Aviram M. Paraoxonase (PON1) deficiency is associated with increased macrophage oxidative stress: studies in PON1-knockout mice. *Free Radic Biol Med* 2003;**34**:774–784.

12. Tang WH, Wu Y, Mann S, Pepoy M, Shrestha K, Borowski AG, Hazen SL. Diminished antioxidant activity of high-density lipoprotein-associated proteins in systolic heart failure. *Circ Heart Fail* 2011;**4**:59–64.
13. Hammad M, Kalogeropoulos AP, Georgiopoulou VV, Weber M, Wu Y, Hazen SL, Butler J, Tang WH. High-density lipoprotein-associated paraoxonase-1 activity for prediction of adverse outcomes in outpatients with chronic heart failure. *Eur J Heart Fail* 2017; in press.
14. Potočnjak I, Degoricija V, Trbušić M, Terešak SD, Radulović B, Pregartner G, Berghold A, Tiran B, Marsche G, Frank S. Metrics of high-density lipoprotein function and hospital mortality in acute heart failure patients. *PLoS One* 2016; **11**:e0157507.
15. Burillo E, Tarin C, Torres-Fonseca MM, Fernandez-García CE, Martinez-Pinna R, Martinez-Lopez D, Llamas-Granda P, Camafeita E, Lopez JA, Vega de Ceniga M, Aviram M, Egidio J, Blanco-Colio LM, Martín-Ventura JL. Paraonase-1 overexpression prevents experimental abdominal aortic aneurysm progression. *Clin Sci (Lond)* 2016;**130**:1027–1038.
16. Giordano FJ. Oxygen, oxidative stress, hypoxia, and heart failure. *J Clin Invest* 2005;**115**:500–508.